



10-06-03

37-24

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**TRANSMITTAL
FORM**(To be used for all correspondence
after initial filing)

Application Number	09/940,689
Filing Date	August 27, 2001
First Named Inventor	Michael Knaupp
Art Unit	3724
Examiner Name	Jason D. Prone
Attorney Docket No.	340058.534

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional Enclosure(s) (<i>please identify below</i>): RECEIVED OCT 15 2003
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Remarks

TECHNOLOGY CENTER R3700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Lorraine Linford	Customer Number
Signature		
Date	10/2/03	

CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	**SENT VIA EXPRESS MAIL**	
Signature		Date:

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FEE TRANSMITTAL

OCT 02 2003 for FY 2004

Patent fees are subject to annual revision.

Patent claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 110

Complete If Known	
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METHOD OF PAYMENT																																																								
<input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> Deposit Account: Deposit Account Number: 19-1090 Deposit Account Name: Seed Intellectual Property Law Group PLLC																																																								
The Director is authorized to (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee <input checked="" type="checkbox"/> Charge any deficiencies to the above-identified deposit account.																																																								
FEE CALCULATION																																																								
1. BASIC FILING FEE <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1001</td> <td>770</td> <td>2001</td> <td>385</td> <td>Utility filing fee</td> <td></td> </tr> <tr> <td>1002</td> <td>340</td> <td>2002</td> <td>170</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>1003</td> <td>530</td> <td>2003</td> <td>265</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>1004</td> <td>770</td> <td>2004</td> <td>385</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>1005</td> <td>160</td> <td>2005</td> <td>80</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="5">SUBTOTAL (1)</td> <td>(\$ 0)</td> </tr> </tbody> </table>		Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1001	770	2001	385	Utility filing fee		1002	340	2002	170	Design filing fee		1003	530	2003	265	Plant filing fee		1004	770	2004	385	Reissue filing fee		1005	160	2005	80	Provisional filing fee		SUBTOTAL (1)					(\$ 0)									
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Independent Claims</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1202</td> <td>18</td> <td>2202</td> <td>9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>1201</td> <td>86</td> <td>2201</td> <td>43</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>1203</td> <td>290</td> <td>2203</td> <td>145</td> <td>Multiple dependent claim, if not paid</td> </tr> <tr> <td>1204</td> <td>86</td> <td>2204</td> <td>43</td> <td>** Reissue independent claims over original patent</td> </tr> <tr> <td>1205</td> <td>18</td> <td>2205</td> <td>9</td> <td>** Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="5">SUBTOTAL (2) (\$ 0)</td> </tr> </tbody> </table>		Total Claims	Extra Claims	Fee from below	Fee Paid					Independent Claims				Multiple Dependent				Large Entity		Small Entity		Fee Description	Fee Code	Fee (\$)	Fee Code	Fee (\$)	1202	18	2202	9	Claims in excess of 20	1201	86	2201	43	Independent claims in excess of 3	1203	290	2203	145	Multiple dependent claim, if not paid	1204	86	2204	43	** Reissue independent claims over original patent	1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	SUBTOTAL (2) (\$ 0)				
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**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)											
3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> </table>		Large Entity		Small		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)
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Fee Code	Fee (\$)	Fee Code	Fee (\$)								
1051	130	2051	65	Surcharge - late filing fee or oath							
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet							
1053	130	1053	130	Non-English specification							
1812	2520	1812	2520	For filing a request for <i>ex parte</i> reexamination							
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action							
1805	1840*	1805	1840*	Requesting publication of SIR after Examiner action							
1251	110	2251	55	Extension for reply within first month	110						
1252	420	2252	210	Extension for reply within second month							
1253	950	2253	475	Extension for reply within third month							
1254	1480	2254	740	Extension for reply within fourth month							
1255	2010	2255	1005	Extension for reply within fifth month							
1401	330	2401	165	Notice of Appeal							
1402	330	2402	165	Filing a brief in support of an appeal							
1403	290	2403	145	Request for oral hearing							
1451	1510	1451	1510	Petition to institute a public use proceeding							
1452	110	2452	55	Petition to revive - unavoidable							
1453	1330	2453	665	Petition to revive - unintentional							
1501	1330	2501	665	Utility issue fee (or reissue)							
1502	480	2502	240	Design issue fee							
1503	640	2503	320	Plant issue fee							
1460	130	1460	130	Petitions to the Commissioner							
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)							
1806	180	1806	180	Submission of Information Disclosure Stmt							
8021	40	8021	40	Recording each patent assignment per property (times number of properties)							
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))							
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))							
1801	770	2801	385	Request for Continued Examination (RCE)							
1802	900	1802	900	Request for expedited examination of a design application							
Other fee (specify) _____											
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$ 110)						

SUBMITTED BY		Registration No.		Customer Number
Name (Print/Type)	Lorraine Linford	35,939		
Signature		Date	October 2, 2003	

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.